

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L67120DL1992PLC049038

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACB0554H

(ii) (a) Name of the company

BHARAT BHUSHAN FINANCE &

(b) Registered office address

503, Rohit House,
3, Tolstoy Marg,
New Delhi
Central Delhi
Delhi
110001

(c) *e-mail ID of the company

commodities@bharatbhusan

(d) *Telephone number with STD code

01149800900

(e) Website

www.bbinvestments.in

(iii) Date of Incorporation

03/06/1992

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BOMBAY STOCK EXCHANGE	1

(b) CIN of the Registrar and Transfer Agent

U74210DL1991PLC042569

Pre-fill

Name of the Registrar and Transfer Agent

ALANKIT ASSIGNMENTS LIMITED

Registered office address of the Registrar and Transfer Agents

205-208ANARKALI COMPLEX
JHANDEWALAN EXTENSION

(vii) *Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

27/09/2019

(b) Due date of AGM

30/09/2019

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	7,000,000	3,380,400	3,380,400	3,380,400
Total amount of equity shares (in Rupees)	70,000,000	33,804,000	33,804,000	33,804,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	7,000,000	3,380,400	3,380,400	3,380,400
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	70,000,000	33,804,000	33,804,000	33,804,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	3,380,400	33,804,000	33,804,000	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	3,380,400	33,804,000	33,804,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	27/09/2018		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

10,390,008

(ii) Net worth of the Company

91,375,600

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,819,270	53.82	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	1,819,270	53.82	0	0

Total number of shareholders (promoters)

12

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,202,264	35.57	0	
	(ii) Non-resident Indian (NRI)	8,933	0.26	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	48,926	1.45	0	
10.	Others Clearing Members, HUF, Col	301,007	8.9	0	
	Total	1,561,130	46.18	0	0

Total number of shareholders (other than promoters)

2,808

**Total number of shareholders (Promoters+Public/
Other than promoters)**

2,820

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	12	12
Members (other than promoters)	2,905	2,808
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	4	0	4	0	45.11
B. Non-Promoter	0	4	0	4	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	8	0	8	0	45.11

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NISHA AHUJA	00001875	Director	655,826	
SANT KUMARI AGARWAL	00001877	Director	1,500	
VIJAY BHUSHAN	00002421	Director	801,068	
ARUN KUMAR GARG	00178582	Director appointed	0	
RAVINDRA SINGH	00429417	Director	0	
KULDEEP KUMAR GUPTA	00915376	Director	0	
VARUN SAIHGAL	06512573	Director	0	
SATISH AGGARWAL	AASPA2927J	CFO	0	
SANDHYA JHALANI	AAHPA4961H	Manager	30,413	
Piyush Kumar	DUUPK9788J	Company Secretary	0	
JOGESH CHANDER AGARWAL	00650258	Director	66,488	30/06/2019

(ii) Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SHIPRA KAMRA	CBRPK4360H	Company Secretar	12/05/2018	Cessation
NEETIKA MATHUR	CUBPM1748J	Company Secretar	12/06/2018	Appointment
NEETIKA MATHUR	CUBPM1748J	Company Secretar	25/01/2019	Cessation
PIYUSH KUMAR	DUUPK9788J	Company Secretar	30/01/2019	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	27/09/2018	3,024	116	48.27

B. BOARD MEETINGS

*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	10/05/2018	8	7	87.5
2	27/07/2018	8	8	100
3	26/10/2018	8	7	87.5
4	30/01/2019	8	7	87.5

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committ	10/05/2018	4	3	75

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
2	Audit Committee	27/07/2018	4	4	100
3	Audit Committee	26/10/2018	4	3	75
4	Audit Committee	30/01/2019	4	3	75
5	Stakeholder R	10/05/2018	3	2	66.67
6	Stakeholder R	27/07/2018	3	3	100
7	Stakeholder R	26/10/2018	3	3	100
8	Stakeholder R	30/01/2019	3	3	100
9	Nomination and	27/07/2018	3	3	100
10	omination and	30/01/2019	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	27/09/2019
								(Y/N/NA)
1	NISHA AHUJA	4	4	100	6	6	100	Yes
2	SANT KUMAR	4	4	100	0	0	0	Yes
3	VIJAY BHUSH	4	4	100	4	4	100	Yes
4	ARUN KUMAR	4	4	100	0	0	0	No
5	RAVINDRA SI	4	4	100	6	6	100	No
6	KULDEEP KU	4	2	50	4	2	50	Yes
7	VARUN SAIH	4	3	75	10	8	80	No
8	JOGESH CHA	4	4	100	4	4	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sandhya Jhalani	Manager	414,450	0	0	0	414,450
	Total		414,450	0	0	0	414,450

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Satish Aggarwal	CFO	499,860	0	0	0	499,860
2	Piyush Kumar	Company Secre	67,097	0	0	0	67,097
3	Shipra Kamra	Company Secre	36,265	0	0	0	36,265
4	Neetika Mathur	Company Secre	171,963	0	0	0	171,963
	Total		775,185	0	0	0	775,185

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Kavita Pamnani

Whether associate or fellow

Associate Fellow

Certificate of practice number

11099

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

VIJAY BHUSHAN
Digitally signed by VIJAY BHUSHAN
Date: 2019.12.23 14:17:16 +05'30'

DIN of the director

00002421

To be digitally signed by

KAVITA PAMNANI
Digitally signed by KAVITA PAMNANI
Date: 2019.12.23 14:17:16 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

-
-
-
-

List of attachments

Share transferred details of bbfc_b_18-19.pdf
MGT 8_BBFCB_2018-19.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

BHARAT BHUSHAN FINANCE_COMMODITY BROKERS LTD
Detail of Share Transferred From :01/04/2018 To 30/03/2019

SERIAL NO.	DATE	TYPE OF SECURITY	NUMBER OF SHARES	NOMINAL VALUE (RS.)	LEDGER FOLIO OF TRANSFEROR	TRANSFERORS NAME	LEDGER FOLIO OF TRANSFEREE	TRANSFEREES NAME
1	02/04/2018	1	200	10	9592	G BANSAL	10136	GYANESHWAR BANSAL
2	04/04/2018	1	300	10	899	ANITA GUPTA	999998	NSDL
3	12/04/2018	1	400	10	10135	SUDESH GOEL	999998	NSDL
4	25/04/2018	1	300	10	1721	ANUP KUMAR DUBEY	999997	CDSL
5	11/05/2018	1	100	10	6380	RAKESH KUMAR JAIN	999998	NSDL
6	11/05/2018	1	200	10	9714	ANUP JAIN	999998	NSDL
7	09/06/2018	1	100	10	3985	VIJAN INDER JEET	999997	CDSL
8	13/06/2018	1	200	10	5598	NISHI GUPTA	999998	NSDL
9	22/06/2018	1	100	10	5858	MUNISH KUMAR AGARWAL	999998	NSDL
10	23/06/2018	1	500	10	6108	ARUN KUMAR PANDEY	999997	CDSL
11	06/07/2018	1	300	10	3503	RAJINDER TANEJA	999997	CDSL
12	30/07/2018	1	200	10	8290	SARITA BATRA	10137	SARITA NAGPAL
13	06/08/2018	1	200	10	6935	MADHU JAIN	999997	CDSL
14	13/08/2018	1	500	10	6241	NARESH KUMAR CHANDULAL SHAH	10138	RASILA NARESHCHANDRA SHAH
15	17/08/2018	1	300	10	3997	SANJEEV	999998	NSDL
16	20/08/2018	1	100	10	8581	SATISH KUMAR	10139	SATISH KUMAR
17	20/08/2018	1	100	10	8582	SATISH KUMAR	10139	SATISH KUMAR
18	20/08/2018	1	100	10	8584	SATISH KUMAR	10139	SATISH KUMAR
19	20/08/2018	1	100	10	8585	SATISH KUMAR	10139	SATISH KUMAR
20	30/08/2018	1	200	10	10137	SARITA NAGPAL	999997	CDSL
21	03/09/2018	1	100	10	6594	RAJEEV CHHABRA	999998	NSDL
22	15/09/2018	1	900	10	1228	PRADEEP SINGH PARIHAR	999998	NSDL
23	15/09/2018	1	300	10	427	YOGENDRA PRASAD CHATURVEDI	999998	NSDL
24	18/09/2018	1	300	10	7245	RAJESH KANT	999998	NSDL
25	18/09/2018	1	1000	10	8558	SANGEETA KANT	999998	NSDL
26	18/09/2018	1	500	10	9238	SUNITA MITTAL	999998	NSDL
27	22/09/2018	1	500	10	5289	PADMAJA SAXENA	999997	CDSL
28	05/10/2018	1	500	10	10138	RASILA NARESHCHANDRA SHAH	999998	NSDL
29	05/10/2018	1	300	10	6849	MUKESH BANSAL	999998	NSDL
30	05/10/2018	1	200	10	7855	MUKESH BANSAL	999998	NSDL
31	05/10/2018	1	100	10	8707	MUKESH BANSAL	999998	NSDL
32	05/10/2018	1	100	10	9271	RAMESH CHAND BANSAL	999998	NSDL
33	08/10/2018	1	300	10	3411	ARCHNA TRIPATHI	999997	CDSL
34	08/10/2018	1	100	10	5195	JYOTI KAMRA	999997	CDSL
35	08/10/2018	1	500	10	6047	SUSHMA GUPTA	999997	CDSL
36	08/10/2018	1	100	10	7801	HARISH CHANDRA KAMRA	999997	CDSL
37	13/10/2018	1	300	10	10107	KAMLESH OBEROI	999997	CDSL
38	13/10/2018	1	400	10	5289	PADMAJA SAXENA	999997	CDSL
39	15/10/2018	1	100	10	5864	NARESH KUMAR	10140	KRISHNA KUMARI
40	17/10/2018	1	200	10	7389	MEDHA VEERWAL	999998	NSDL
41	25/10/2018	1	100	10	7844	MANOHAR LAL SHARMA	999998	NSDL
42	30/10/2018	1	200	10	1081	RAJ KUMARI PIPLANI	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
43	30/10/2018	1	400	10	1618	SHETH VARSHA S	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA

**Bharat Bhushan Finance &
Commodity Brokers Ltd.**

Nisha
Director

**Bharat Bhushan Finance &
Commodity Brokers Ltd.**

U. R. Bhusan
Director

44	30/10/2018	1	300	10	1993	RAMESH M VAISHNAV	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
45	30/10/2018	1	300	10	2029	SURESH KUMAR	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
46	30/10/2018	1	100	10	203	M/S VARDHMAN LEASING & SERVICES LTD	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
47	30/10/2018	1	300	10	2649	MANJU	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
48	30/10/2018	1	300	10	2877	ADITI SINGH	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
49	30/10/2018	1	300	10	2962	GYAN CHANDRA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
50	30/10/2018	1	100	10	3171	VIJAY KUMAR TRIPATHI	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
51	30/10/2018	1	200	10	3449	KESHAV N VERMA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
52	30/10/2018	1	300	10	362	RAKESH KUMAR SHARMA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
53	30/10/2018	1	100	10	3995	KANCHAN	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
54	30/10/2018	1	100	10	4591	AJAY JAIN	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
55	30/10/2018	1	300	10	4601	AMITA SINGHAL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
56	30/10/2018	1	100	10	4905	RITU JAIN	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
57	30/10/2018	1	300	10	4940	SAVITA POORNAM	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
58	30/10/2018	1	500	10	5089	ANURADHA AGARWAL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
59	30/10/2018	1	100	10	5192	JEEWAN KAPILA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
60	30/10/2018	1	100	10	5398	SATYA NARAYAN GOEL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
61	30/10/2018	1	200	10	5497	AJAY KUMAR	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
62	30/10/2018	1	200	10	5544	HARI KISHAN	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
63	30/10/2018	1	100	10	5738	ASHOK KUMAR AGRAWAL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
64	30/10/2018	1	100	10	5743	B R SAWHNEY	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
65	30/10/2018	1	100	10	5836	MADHU AGRAWAL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
66	30/10/2018	1	1800	10	5886	PARMINDER KAUR	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
67	30/10/2018	1	100	10	605	ASHOK MOTIANI	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
68	30/10/2018	1	100	10	6057	VIJAY GOYAL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
69	30/10/2018	1	500	10	6136	CHANDULAL R SHAH	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
70	30/10/2018	1	100	10	6149	DEEPAK SANG	999995	INVESTOR EDUCATION AND PROTECTION F

71	30/10/2018	1	200	10	6234	MUKESH GOYAL	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
72	30/10/2018	1	400	10	6267	POONAM CHAWLA	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
73	30/10/2018	1	300	10	6355	SANJAY KUMAR JAIN	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
74	30/10/2018	1	500	10	6361	SANJEEV KAKAR	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
75	30/10/2018	1	100	10	6764	P CHANDRAMATHY	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
76	30/10/2018	1	1000	10	6833	ASHOK KUMAR GARG	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
77	30/10/2018	1	600	10	6853	PARKASH CHAND	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
78	30/10/2018	1	700	10	6956	PARMINDER KAUR	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
79	30/10/2018	1	300	10	6972	RAJINDER SINGH	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
80	30/10/2018	1	100	10	7240	R N JAIN	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
81	30/10/2018	1	200	10	7318	AMRIT PAL BHATIA	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
82	30/10/2018	1	100	10	7345	FIROZ ALAM	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
83	30/10/2018	1	200	10	737	GABBAR SINGH RAWAT	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
84	30/10/2018	1	200	10	7477	VEENA GUPTA	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
85	30/10/2018	1	200	10	7494	AJAY ARORA	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
86	30/10/2018	1	500	10	7499	ANKIT CHHAPARIA	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
87	30/10/2018	1	100	10	7611	PANKAJ KATHURIA	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
88	30/10/2018	1	100	10	762	SUNIL AGGARWAL	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
89	30/10/2018	1	200	10	7666	SHASHI OBEROI	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
90	30/10/2018	1	100	10	7668	SHITAL KUMAR OBEROI	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
91	30/10/2018	1	600	10	7690	SUSHILA BHOG	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
92	30/10/2018	1	500	10	7848	MEENOO PURI	999998	NSDL
93	30/10/2018	1	100	10	7927	RAVI KUMAR GANDHI	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
94	30/10/2018	1	100	10	7939	S K OBEROI	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
95	30/10/2018	1	100	10	7940	S K OBEROI	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
96	30/10/2018	1	100	10	8118	SANTOSH KUMAR GOYAL	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F
97	30/10/2018	1	100	10	8187	CHANDER MOHINI MALHOTRA	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F

98	30/10/2018	1	100	10	8240	NEEARAJ KUMAR DIKSHIT	999995	UND - MCA INVESTOR EDUCATION AND PROTECTION F UND - MCA
99	30/10/2018	1	100	10	8246	NITA GUPTA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
100	30/10/2018	1	200	10	8304	SHASHI AGARWAL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
101	30/10/2018	1	100	10	8354	ANIL KUMAR	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
102	30/10/2018	1	100	10	8357	ANIL KUMAR	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
103	30/10/2018	1	100	10	8358	ANIL KUMAR	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
104	30/10/2018	1	100	10	8481	NISHA SINGHAL	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
105	30/10/2018	1	100	10	8544	REKHA GUPTA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
106	30/10/2018	1	100	10	8607	SUKESHNI JAIN	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
107	30/10/2018	1	100	10	8645	VIJAY KUMAR JAIN	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
108	30/10/2018	1	100	10	8647	VIJENDER KUMAR	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
109	30/10/2018	1	500	10	8681	B K YADAV	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
110	30/10/2018	1	800	10	8708	NIRMALA YADAV	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
111	30/10/2018	1	200	10	8766	VIMAL MEHANDIRATTA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
112	30/10/2018	1	200	10	9014	PARAG PRATAP BAGADIA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
113	30/10/2018	1	400	10	9264	MANISHA BHARTIYA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
114	30/10/2018	1	300	10	9512	MANMOHAN	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
115	30/10/2018	1	100	10	9597	ARTI	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
116	30/10/2018	1	100	10	9599	SEEMA	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
117	30/10/2018	1	4600	10	9628	SOHAIL FINANCIALS P.LTD.,	999995	INVESTOR EDUCATION AND PROTECTION F UND - MCA
118	01/11/2018	1	100	10	7214	MANOJ KUMAR GARG	999997	CDSL
119	05/11/2018	1	100	10	771	BAL KRISHAN GUPTA	10141	BAL KRISHAN GUPTA
120	05/11/2018	1	300	10	9338	CENTRAL BANK OF INDIA	10142	SABITA KUNDU
121	06/11/2018	1	300	10	1720	USHA SHARMA	999997	CDSL
122	12/11/2018	1	300	10	2018	GARIMA SHRIVASTAV	999997	CDSL
123	12/11/2018	1	100	10	6953	NIRMAL ARORA	10144	JOGINDER PAL ARORA
124	12/11/2018	1	200	10	8299	SHAMA SONDHI	10143	DHARMENDRA KUMAR SHUKLA
125	13/11/2018	1	500	10	2249	ALOK JAIN	999998	NSDL
126	16/11/2018	1	400	10	10050	AJAY GOEL	999998	NSDL
127	16/11/2018	1	2500	10	10095	VINOD KUMAR GUPTA	999998	NSDL
128	16/11/2018	1	700	10	5585	MEENAKSHI MALHOTRA	999998	NSDL
129	16/11/2018	1	100	10	6697	ADITYA PRAKASH RASTOGI	999998	NSDL

130	16/11/2018	1	300	10	7848	MEENOO PURI	999998	NSDL
131	19/11/2018	1	100	10	10140	KRISHNA KUMARI	999997	CDSL
132	19/11/2018	1	100	10	5826	KRISHANA KUMARI	999997	CDSL
133	19/11/2018	1	100	10	7403	NISHA KOHLI	10145	NISHA KOHLI
134	22/11/2018	1	100	10	3301	NEELAM ASTHANA	999998	NSDL
135	03/12/2018	1	300	10	3040	SHIV KUMAR MALHOTRA	10146	PARDEEP MALHOTRA
136	03/12/2018	1	100	10	3152	SAMPURAN SINGH	10146	PARDEEP MALHOTRA
137	03/12/2018	1	200	10	3153	USHA RANI	10146	PARDEEP MALHOTRA
138	03/12/2018	1	100	10	5566	KUNTI JOSHI	10147	GIRISH CHANDRA JOSHI
139	03/12/2018	1	100	10	5567	KUNTI JOSHI	10147	GIRISH CHANDRA JOSHI
140	03/12/2018	1	100	10	5568	KUNTI JOSHI	10147	GIRISH CHANDRA JOSHI
141	03/12/2018	1	100	10	5569	KUNTI JOSHI	10147	GIRISH CHANDRA JOSHI
142	03/12/2018	1	100	10	5570	KUNTI JOSHI	10147	GIRISH CHANDRA JOSHI
143	03/12/2018	1	100	10	5571	KUNTI JOSHI	10147	GIRISH CHANDRA JOSHI
144	03/12/2018	1	1000	10	7797	GOBIND RAM	999998	NSDL
145	03/12/2018	1	100	10	8203	KAMAL KANT AGGARWAL	10148	KAMAL KANT AGGARWAL
146	03/12/2018	1	100	10	8204	KAMAL KANT AGGARWAL	10148	KAMAL KANT AGGARWAL
147	03/12/2018	1	100	10	8205	KAMAL KANT AGGARWAL	10148	KAMAL KANT AGGARWAL
148	03/12/2018	1	100	10	8206	KAMAL KANT AGGARWAL	10148	KAMAL KANT AGGARWAL
149	03/12/2018	1	100	10	8207	KAMAL KANT AGGARWAL	10148	KAMAL KANT AGGARWAL
150	03/12/2018	1	100	10	8208	KAMAL KANT AGGARWAL	10148	KAMAL KANT AGGARWAL
151	03/12/2018	1	100	10	8220	MANJU AGGARWAL	10149	MANJU AGGARWAL
152	03/12/2018	1	100	10	8221	MANJU AGGARWAL	10149	MANJU AGGARWAL
153	03/12/2018	1	100	10	8222	MANJU AGGARWAL	10149	MANJU AGGARWAL
154	03/12/2018	1	100	10	8223	MANJU AGGARWAL	10149	MANJU AGGARWAL
155	03/12/2018	1	100	10	8224	MANJU AGGARWAL	10149	MANJU AGGARWAL
156	03/12/2018	1	100	10	8415	KAMAL KANT AGGARWAL	10148	KAMAL KANT AGGARWAL
157	03/12/2018	1	100	10	8446	MANJU AGGARWAL	10149	MANJU AGGARWAL
158	03/12/2018	1	100	10	8447	MANJU AGGARWAL	10149	MANJU AGGARWAL
159	03/12/2018	1	100	10	9074	GAYATRI BANSAL	999998	NSDL
160	10/12/2018	1	100	10	8900	M K KHANDELWAL	999998	NSDL
161	10/12/2018	1	100	10	8901	VIJAY LAXMI KHANDELWAL	999998	NSDL
162	11/12/2018	1	400	10	10139	SATISH KUMAR	999998	NSDL
163	11/12/2018	1	300	10	1719	MAHENDRA KUMAR SHARMA	999997	CDSL
164	11/12/2018	1	100	10	6615	RAMAN KUMAR	999998	NSDL
165	11/12/2018	1	100	10	8580	SATISH KUMAR	999998	NSDL
166	13/12/2018	1	200	10	10143	DHARMENDRA KUMAR SHUKLA	999998	NSDL
167	13/12/2018	1	300	10	3963	TANIA AHUJA	999998	NSDL
168	13/12/2018	1	100	10	5198	K C SHARMA	999998	NSDL
169	13/12/2018	1	400	10	9137	DARSHAN GROVER	999998	NSDL
170	14/12/2018	1	300	10	10142	SABITA KUNDU	999997	CDSL
171	14/12/2018	1	300	10	548	VIRENDRA PAL RASTOGI	999997	CDSL
172	17/12/2018	1	100	10	10145	NISHA KOHLI	999997	CDSL
173	17/12/2018	1	100	10	1276	J S CHADHA	999997	CDSL
174	17/12/2018	1	100	10	3062	DIVYA GOEL	10150	MANJU GUPTA
175	17/12/2018	1	100	10	5503	ANIL KUMAR BAWA	10151	SAMEER KUMAR SINGH
176	17/12/2018	1	100	10	5504	ANIL KUMAR BAWA	10151	SAMEER KUMAR SINGH
177	21/12/2018	1	100	10	10141	BAL KRISHAN GUPTA	999998	NSDL
178	21/12/2018	1	100	10	504	PAWAN PARKASH GUPTA	999998	NSDL
179	21/12/2018	1	100	10	6159	GAYATRI MEOUR	999998	NSDL
180	21/12/2018	1	100	10	7936	RITA SHARMA	999998	NSDL
181	28/12/2018	1	100	10	8231	MOHD ASLAM	999997	CDSL
182	31/12/2018	1	300	10	1666	OM PRAKASH SISODIA	999998	NSDL

183	31/12/2018	1	300	10	3327	PARSHOTTAM LAL	10152	PARSHOTAM DASS
184	03/01/2019	1	1000	10	8996	SUNDEEP AVARSEKAR	999998	NSDL
185	03/01/2019	1	500	10	9290	POOJA GUPTA	999998	NSDL
186	05/01/2019	1	100	10	10144	JOGINDER PAL ARORA	999997	CDSL
187	08/01/2019	1	100	10	7027	SUSHIL KUMAR SANGAL	999997	CDSL
188	12/01/2019	1	300	10	2359	MADHU VARSHENY	999998	NSDL
189	12/01/2019	1	100	10	5971	S P KAILA	999997	CDSL
190	12/01/2019	1	500	10	634	ASHWANI KUMAR	999998	NSDL
191	14/01/2019	1	500	10	8786	PARKASH	999998	NSDL
192	16/01/2019	1	300	10	5362	RANBIR KUMAR BHALLA	999998	NSDL
193	18/01/2019	1	200	10	10151	SAMEER KUMAR SINGH	999998	NSDL
194	19/01/2019	1	100	10	8292	SARITA TANEJA	999998	NSDL
195	19/01/2019	1	100	10	8293	NARESH CHANDRA TANEJA	999998	NSDL
196	21/01/2019	1	100	10	3959	MUKESH SARASWAT	10154	TARA CHAND AGARWAL
197	21/01/2019	1	500	10	9202	MITHLESH KANSAL	10153	ASHOK KUMAR KANSAL
198	23/01/2019	1	400	10	2405	SNEH LATA ARORA	999997	CDSL
199	28/01/2019	1	200	10	5274	NAVNEET KUMAR	999998	NSDL
200	28/01/2019	1	200	10	5546	HARISH CHANDRA KAPOOR	999998	NSDL
201	05/02/2019	1	600	10	10147	GIRISH CHANDRA JOSHI	999998	NSDL
202	08/02/2019	1	200	10	584	H S GAUTAM	999997	CDSL
203	11/02/2019	1	200	10	5889	PAWAN KUMAR	10155	MEENAKSHI
204	12/02/2019	1	100	10	6303	RAJENDRA VEER SINGH	999998	NSDL
205	14/02/2019	1	100	10	9780	GITA DEVI BANG	999998	NSDL
206	19/02/2019	1	100	10	8238	NARESH CHANDRA TANEJA	999998	NSDL
207	20/02/2019	1	100	10	10040	TARA CHAND AGARWAL	999997	CDSL
208	20/02/2019	1	100	10	10154	TARA CHAND AGARWAL	999997	CDSL
209	25/02/2019	1	100	10	7147	VIJAY SATSANGI	10156	SANTOSH KUMAR SATSANGI
210	05/03/2019	1	200	10	7693	SUSHMA ARORA	999997	CDSL
211	18/03/2019	1	500	10	5786	GURDEEP SINGH	10157	KULDEEP KAUR
212	27/03/2019	1	100	10	8479	NIRMAL KANTA CHUGH	999998	NSDL

Total 57100

**Bharat Bhushan Finance &
Commodity Brokers Ltd.**

Bhushan
Director

**Bharat Bhushan Finance &
Commodity Brokers Ltd.**

Nisha Ahuja
Director

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **M/s Bharat Bhushan Finance & Commodity Brokers Limited. ("the Company")** as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2019. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. its status under the Act;
2. maintenance of registers/records & making entries therein within the time prescribed therefor;
3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. closure of Register of Members / Security holders, as the case may be.
6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; (Not Applicable)
7. contracts/arrangements with related parties as specified in section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;



KAVITA PAMNANI & ASSOCIATES
COMPANY SECRETARIES

A - 104, Lower Ground Floor,
Amar Colony, Lajpat Nagar-IV,
New Delhi-110024
Mobile No: +91-9811676217
Tel No. : 011- 41576217

E-mail : kavitasewani1@gmail.com

9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act; (Not Applicable)
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits; (Not applicable)
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable; (Not applicable)
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company; (Not applicable)

For Kavita Pamnani & Associates



K. Sawan

Kavita Pamnani
(Proprietor)
C.P. No. 11099

Place: New Delhi
Date: 07.10.2019